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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration
Submitted
with Initial
Filing

OR

☒ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number 113PUS05900P

First Named Inventor Kevin Rodney Lassila, et al.

COMPLETE IF KNOWN

Application Number 09/975,135

Filing Date 11 October 2001

Group Art Unit 1714

Examiner Name E. Cain

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Acetylenic Diol Ethylene Oxide/Propylene Oxide Adducts and Processes for Their Manufacture

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 11 October 2001

as United States Application Number or PCT International

Application Number 09/975,135 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
			<input type="checkbox"/>	YES NO
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement. This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label		<input type="checkbox"/> Correspondence address below	
 23543 Air Products and Chemicals, Inc.			
Name			
Address			
Address			
City		State	ZIP
Country	Telephone		Fax
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>			
NAME OF SOLE OR FIRST INVENTOR :		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Kevin Rodney		Family Name or Surname Lassila	
Inventor's Signature <i>Kevin Rodney Lassila</i>		Date <i>4/19/02</i> COPY OF ORIGINAL FILED	
Residence: City Macungie	State PA	Country USA	Citizenship USA
Mailing Address 7320 Periwinkle Drive			
Mailing Address			
City Macungie	State PA	ZIP 18062	Country USA
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Paula Ann		Family Name or Surname Uhrin	
Inventor's Signature <i>Paula Ann Uhrin</i>		Date <i>19 April 2002</i> COPY OF ORIGINAL FILED	
Residence: City Allentown	State PA	Country USA	Citizenship USA
Mailing Address 940 N. Saint Elmo Street			
Mailing Address			
City Allentown	State PA	ZIP 18104	Country USA
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.			

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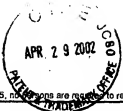
DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>1</u> of <u>1</u>
--------------------	---

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Joel		Schwartz	
Inventor's Signature <i>Joel Schwartz</i>		Date <i>7/19/02</i>	
Residence: City	State	Country	Citizenship
Lansdale	PA	USA	USA
Mailing Address 1363 Gwynedale Way			
Mailing Address			
City	State	ZIP	Country
Lansdale	PA	19446	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
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PTO/SB/85 (08-00)

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STATEMENT UNDER 37 CFR 3.73(h)

Applicant/Patent Owner: Kevin Rodney Lassila, et al.Application No./Patent No.: US 6,313,182 B1 Filed/Issue Date: 6 November 2001Entitled: Acetylenic Diol Ethylene Oxide/Propylene Oxide Adducts and Processes for Their...Air Products and Chemicals, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 009952 Frame 003 for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

- [] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

19 April 2002

Date

William F. Marsh

Typed or printed name

William F. Marsh
Signature

Assistant General Counsel, Patents

Title

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ASSIGNMENT

For value received, we, Kevin Rodney Lassila and Paula Ann Uhrin, hereby sell, assign, and transfer to **AIR PRODUCTS AND CHEMICALS, INC.**, a corporation of the State of Delaware, having an office at 7201 Hamilton Boulevard, Allentown, PA 18195-1501, the entire right, title, and interest in and to the invention relating to

**ACETYLENIC DIOL ETHYLENE OXIDE/PROPYLENE OXIDE ADDUCTS AND PROCESSES
FOR THEIR MANUFACTURE**

described in our application for Letters Patent of the United States (identified by Docket No. 113PUS05900P) executed of even date herewith, in and to all Letters Patent which may be issued upon said application, in and to any divisional or reissue applications based thereon, and in and to all Letters Patent upon said invention or improvements which may be granted in foreign countries. We hereby also assign and convey to said **AIR PRODUCTS AND CHEMICALS, INC.**, all the rights accruing to us by virtue of the International Convention for the Protection of Industrial Property (Art. 4), including the right to apply for and to have patents issued in its own name.

And we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and the Officials of the Patent Offices of all other countries to issue the Letters Patent of their respective countries to said **AIR PRODUCTS AND CHEMICALS, INC.**, as assignee of the entire right, title, and interest in and to the same.

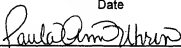
And we hereby promise and agree to execute all papers and perform all acts necessary to secure to and vest in said **AIR PRODUCTS AND CHEMICALS, INC.**, its successors and assigns, the rights conveyed as herein set forth.



Kevin Rodney Lassila (L.S.)

10/10/01

Date



Paula Ann Uhrin (L.S.)

10 OCTOBER 2001

Date

ASSIGNMENT


For value received, I, Joel Schwartz, hereby sell, assign, and transfer to **AIR PRODUCTS AND CHEMICALS, INC.**, a corporation of the State of Delaware, having an office at 7201 Hamilton Boulevard, Allentown, PA 18195-1501, the entire right, title, and interest in and to the invention relating to

**ACETYLENIC DIOL ETHYLENE OXIDE/PROPYLENE OXIDE ADDUCTS AND PROCESSES
FOR THEIR MANUFACTURE**

described in United States Letters Patent 6,313,182 B1 issued 6 November 2001 based on Application No. 09/304,607 filed 4 May 1999, in and to all Letters Patent which may be issued upon said application, in and to any divisional or reissue applications based thereon, and in and to all Letters Patent upon said invention or improvements which may be granted in foreign countries. I hereby also assign and convey to said **AIR PRODUCTS AND CHEMICALS, INC.**, all the rights accruing to me by virtue of the International Convention for the Protection of Industrial Property (Art. 4), including the right to apply for and to have patents issued in its own name.

And I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and the Officials of the Patent Offices of all other countries to issue the Letters Patent of their respective countries to said **AIR PRODUCTS AND CHEMICALS, INC.**, as assignee of the entire right, title, and interest in and to the same.

And I hereby promise and agree to execute all papers and perform all acts necessary to secure to and vest in said **AIR PRODUCTS AND CHEMICALS, INC.**, its successors and assigns, the rights conveyed as herein set forth.

 (L.S.)
JOEL SCHWARTZ

4/19/02
Date